



Governance Indicators - IWRM principles: Scorecard

In the yellow coloured cells, please score each indicator from 0 to 5, using the response key below.
The spreadsheet will provide total scores and the overall scores are shown in the Dashboard on the next sheet
(see the tab at the bottom of this page on your screen to get to the Dashboard)

It is important to identify all significant factors and procedures underway to substantiate indicator assessment and facilitate consistent marking and year updated (plus any changes of those in charge of indicators in the organization)

| Indicator | GLOSSARY – Meaning of each indicator | Effectiveness [scores from 0 to 5] | INDICATOR VALUE (%) | Evidence absence of evidence, the efficiency score is nullified) | (in the |
|--|--|---------------------------------------|------------------------|---|---------|
| PRINCIPLE 1: POLITICAL PROCESSES | | | | | |
| G1 | Political connection Political commitment of Member States in the governance and running of the basin organisation body | | | | |
| G2 | Benefit sharing Benefit sharing mechanism to share water resources equally between basin countries | | | | |
| | | | 0 | | |
| PRINCIPLE 2: FINANCIAL MECHANISMS | | | | | |
| G3 | Ongoing financing On going financing for basin management even despite potential changes in the administration of each basin country | 0 | | | |
| G4 | Financing consistent with targets Genuine mobilisation of funding for developing basin management in line with budget programmed in 10-year Management Plan | 0 | | | |
| G5 | Efficiency Ratio established between RBO running costs and the funding mobilised for putting the Management Plan into effect in the basin | 0 | | | |
| G6 | Application of user-payer and polluter-payer principles Contributions from water users in the basin to be employed for operational activities and/or investments in the basin | | | | |
| G7 | Donor coordination Coordination between donor organisations exists to ensure programs and projects are linked | 0 | | | |
| | | | 0 | | |
| PRINCIPLE 3: REPRESENTATION AND PARTICIPATION | | | | | |
| G8 | Representativeness Balance of political representation (in decision-making bodies) and technical representation (in the executive) in RBO member countries | 0 | | | |
| G9 | Water user participation Mechanisms allowing water users at the lowest level to participate in the decisions of basin organisations (involving women and impoverished populations) | 0 | | | |
| | | | 0 | | |
| PRINCIPLE 4: LEGAL SYSTEM | | | | | |
| G10 | Legislation at basin organisation level Legal framework exists relating to the basin organisation's mandate, structure, financial base & regional water management, adapted to IWRM requirements | 0 | | | |
| G11 | Conformity between national and regional legislation National laws on water are mutually conform to basin organisation texts | 0 | | | |
| | | | 0 | | |
| PRINCIPLE 5: PLANNING | | | | | |
| G12 | Planning process Planning process with clearly defined objectives, mutually beneficial goals and development priorities, all stated in a long-term integrated basin management plan | 0 | | | |
| G13 | Plan implementation Implementation of the key stages of the basin management plan | 0 | | | |
| G14 | Decision-making process for infrastructures Coherence of decision-making process on investment for large infrastructures with a transboundary impact, including international recommendations for transparency, sharing of profits and sustainability (reduction of poverty and social and environmental constraints) | 0 | | | |
| | | | 0 | | |
| PRINCIPLE 6: FUNCTIONAL COORDINATION | | | | | |
| G15 | National / regional interface Concept and operation of national focal points | 0 | | | |
| G16 | Coordination tools Operation of technical tools for participation and joint action plans for managing water between basin countries in a transparent manner (i.e. water allocation, coordinated management of constructions, etc.) | 0 | | | |
| G17 | Overarching reporting mechanism | 0 | | | |
| | | | 0 | | |
| PRINCIPLE 7: INFORMATION AND COMMUNICATION SYSTEM | | | | | |
| G18 | Information management system Shared information system (including geographical, characterization, environmental, etc. aspects) to aid decision-making | 0 | | | |
| G19 | Information management protocol Protocol specifying the types of information needed, their presentation and ways of exchanging information using the RBO's information management system | 0 | | | |
| G20 | Communication Basin organisation's external and internal communication procedures | 0 | | | |
| | | | 0 | | |

KEY TO COMPLETING THE SCORESHEET

Ratings

| Score | Meaning |
|--------------|-----------------------------------|
| 0 | NOT RELEVANT OR TOO EARLY TO TELL |
| 1 | VERY LITTLE |
| 2 | LITTLE |
| 3 | NEITHER A LITTLE NOR A LOT |
| 4 | A LOT |
| 5 | VERY MUCH |

Sources of information used to score each indicator (you can use more than one):

| # | Type |
|----------|------------------------|
| a | PROJECT REPORT |
| b | MINUTES OF MEETING |
| c | LAW |
| d | FINANCIAL ACCOUNT |
| e | GUIDELINE |
| f | PERSONAL OBSERVATION |
| g | PLANNING DOCUMENTS |
| h | QUALITY ASSURANCE PLAN |
| i | REPORTING MECHANISM |
| j | OTHER |